

Name of Listed Equity: GLOBAL FINANCE & SECURITIES LTD.

Scrp Code:

Shareholding Pattern Filed under: Reg. 31(1)(b) Quarter Ended: 31/12/2018

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Particulars		
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	Yes	No
1 Whether the Listed Equity has issued any Partly Paid up shares?		No
2 Whether the Listed Equity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Equity has any shares against which Depository Receipts are issued?		No
4 Whether the Listed Equity has any shares in Locked-in?		No
5 Whether any shares held by Promoters are Pledge or otherwise encumbered?		No
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TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Name of shareholders	Nos. of Shares	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares Held	Shareholding (Calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding convertible securities (including Warrants) (X)	Shareholding as % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
								Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)= (IV)+(V)+(VI)	(VIII)								
(A)	Promoter & Promoter Group	15	2216000			2216000	44.318	2216000	2216000	44.318	44.318			1452300	
(B)	Public	3345	2784200			2784200	55.682	2784200	2784200	55.682	55.682			675200	
(C)	Non Promoter - Non Public														
(C1)	Shares underlying DRs														
(C2)	Shares held by Employee Trusts														
<b>TOTAL</b>		<b>3360</b>	<b>5000200</b>			<b>5000200</b>	<b>100.000</b>	<b>5000200</b>	<b>5000200</b>	<b>100.000</b>	<b>100.000</b>			<b>2127500</b>	

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Name of shareholders	PAN	Nos. of (Shareholders)	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares (Underlying Depository Receipt)	Total Nos. (VII) = (IV)+(V)+(VI)	Shareholding (Calculated as per SCRR, 1957) (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares (outstanding convertible securities (including Warrants) (X))	Shareholding as % assuming full conversion of (convertible securities (including Warrants) (X)) (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in dematerialized form (XIV)
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(Class X)	(Class Y)	Total	Total as a % of total voting Rights	(X)	(A)+(B)+(C)	(XII)	(XIII)	(XIV)
(1) Indian																
(a) Individuals/Hindu undivided Family			13	2148000			2148000	42.958	2148000		2148000	42.958	42.958			1452300
	ANIL NAHATA			30000			30000	0.600	30000		30000	0.600	0.600			0.600
	AVINASH NAHATA			30000			30000	0.600	30000		30000	0.600	0.600			0.600
	BALCHAND SINGHI	ASJPS2127B		216500			216500	4.330	216500		216500	4.330	4.330			4.330
	HATHI MAL NAHATA			80100			80100	1.602	80100		80100	1.602	1.602			1.602
	KAMAL SINGHI	AMIPPS2603P		50100			50100	1.002	50100		50100	1.002	1.002			50100
	MADHU SINGHI	ALSPS5065L		50000			50000	1.000	50000		50000	1.000	1.000			50000
	PRAKASH CHAND NAHATA			80000			80000	1.600	80000		80000	1.600	1.600			1.600
	RAJ KUMAR NAHATA	ABMPS8448E		693400			693400	13.867	693400		693400	13.867	13.867			628300
	SARIKA NAHATA	ABQPS3099M		30000			30000	0.600	30000		30000	0.600	0.600			30000
	SAROJ DEVI NAHATA			30000			30000	0.600	30000		30000	0.600	0.600			0.600
	SHESH KARAN SINGHI	AKLPS6473J		693900			693900	13.877	693900		693900	13.877	13.877			693900
	SUMAN NAHATA			50000			50000	1.000	50000		50000	1.000	1.000			1.000
	SUMAN SINGHI			114000			114000	2.280	114000		114000	2.280	2.280			2.280
(b) Central Government/State Government (s)																
(c) Financial Institutions/Banks																
(d) Any Other																
	Bodies Corporate		2	68000			68000	1.360	68000		68000	1.360	1.360			1.360
	RACHYITA INVESTMENT PVT. LTD.			48000			48000	0.960	48000		48000	0.960	0.960			0.960
	SAMRAT MERCANTILE PVT. LTD.			20000			20000	0.400	20000		20000	0.400	0.400			0.400
	Trusts															
	Clearing Members															
Sub-Total (A) (1)			15	2216000			2216000	44.318	2216000		2216000	44.318	44.318			1452300
(2) Foreign																
(a) Individuals (Non-Resident Individuals/Foreign Individuals)																
(b) Government																
(c) Institutions																
(d) Foreign Portfolio Investor																
(e) Any Other																
OCBs																
Sub-Total (A) (2)																
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A) (2)			15	2216000			2216000	44.318	2216000		2216000	44.318	44.318			1452300

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDER

Category	Name of shareholders	PAN	Nos. of Shareholders	No. of Fully Paid-up Equity shares Held	No. of Partly Paid-up Equity shares Held	No. of Shares Underlying Depository Receipt	Total Nos. Shares (VII)= (IV)+(V)+(VI)	Shareholding (Calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying outstanding (convertible securities (including Warrants (X) as a % of (A)+(B)+(C)	Shareholding as % assuming full conversion of (convertible sec- urities (as a % of diluted (share capital) (XI)=(VII)+(X) as a % of (A)+(B)+(C)	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Equity Shares Held in demat (form of total shares held (XIV)
									Class X	Class Y	Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)									
(1)	Institutions															
(a)	Mutual Funds		2	83500			83500	1.670	83500	83500	1.670		1.670			
	CRB TRUSTEE LTD A/C CRB MUTUA L FUND			73400			73400	1.468	73400		1.468					
(b)	Venture Capital Funds															
(c)	Alternate Investment Funds															
(d)	Foreign Venture Capital Investo rs															
(e)	Foreign Portfolio Investors															
(f)	Financial Institutions/Banks															
(g)	Insurance Companies															
(h)	Provident Funds/Pension Funds															
(i)	Any Other Foreign Institutional Investo rs QFI - Corporate															
	Sub-Total (B) (1)		2	83500			83500	1.670	83500	83500	1.670		1.670			
(2)	Central Government/State Gove rnment(s)/President of India															
	Sub-Total (B) (2)															
(3)	Non-Institutions															
(a)	Individuals															
	i) Individual shareholders h olding nominal share capital up to Rs.2 Lakhs.		3281	1533700			1533700	30.673	1533700	1533700	30.673		30.673			368700
	ii) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. RAKHEE SHYAMUKHA		3	305000			305000	6.100	305000	305000	6.100		6.100			30000
	iii) Individual shareholders h olding nominal share capital in excess of Rs.2 Lakhs. RAKHEE SHYAMUKHA			250000			250000	5.000	250000	250000	5.000		5.000			
(b)	NBFCs registered with RBI															
(c)	Employee Trusts															
(d)	Overseas Depositors (holding DRs) (balancing figure)															
(e)	Any Other Bodies Corporate		54	861100			861100	17.221	861100	861100	17.221		17.221			275600
	GDB SHARE & STOCK BROKING SER VICES LTD.			200000			200000	4.000	200000	200000	4.000		4.000			
	HUNGERFORD CONSULTANTS PRIVAT AABCH9345K E LIMITED			80500			80500	1.610	80500	80500	1.610		1.610			80500
	M H FINANCE AND MERCANTILE PV T LTD			83400			83400	1.668	83400	83400	1.668		1.668			
	PAGARIA HOLDING PRIVATE LIMIT AAFPC3996C ED			67500			67500	1.350	67500	67500	1.350		1.350			67500
	VICCO PRODUCTS BOMBAY PVT LTD AAACV4446N			65600			65600	1.312	65600	65600	1.312		1.312			65600
	WELLMORTH MULTYPH (P) LTD			98400			98400	1.968	98400	98400	1.968		1.968			
	QFI - Individual															
	NRI - Repatriable															



